Chapter 03: Processes

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<table>
<thead>
<tr>
<th>Chapter</th>
</tr>
</thead>
<tbody>
<tr>
<td>01: Introduction</td>
</tr>
<tr>
<td>02: Architectures</td>
</tr>
<tr>
<td><strong>03: Processes</strong></td>
</tr>
<tr>
<td>04: Communication</td>
</tr>
<tr>
<td>05: Naming</td>
</tr>
<tr>
<td>06: Synchronization</td>
</tr>
<tr>
<td>07: Consistency &amp; Replication</td>
</tr>
<tr>
<td>08: Fault Tolerance</td>
</tr>
<tr>
<td>09: Security</td>
</tr>
<tr>
<td>10: Distributed Object-Based Systems</td>
</tr>
<tr>
<td>11: Distributed File Systems</td>
</tr>
<tr>
<td>12: Distributed Web-Based Systems</td>
</tr>
<tr>
<td>13: Distributed Coordination-Based Systems</td>
</tr>
</tbody>
</table>
Introduction to Threads

Basic idea

We build virtual processors in software, on top of physical processors:

**Processor**: Provides a set of instructions along with the capability of automatically executing a series of those instructions.

**Thread**: A minimal software processor in whose context a series of instructions can be executed. Saving a thread context implies stopping the current execution and saving all the data needed to continue the execution at a later stage.

**Process**: A software processor in whose context one or more threads may be executed. Executing a thread, means executing a series of instructions in the context of that thread.
Context Switching

Contexts

- **Processor context**: The minimal collection of values stored in the registers of a processor used for the execution of a series of instructions (e.g., stack pointer, addressing registers, program counter).
- **Thread context**: The minimal collection of values stored in registers and memory, used for the execution of a series of instructions (i.e., processor context, state).
- **Process context**: The minimal collection of values stored in registers and memory, used for the execution of a thread (i.e., thread context, but now also at least MMU register values).
Observations

1. Threads share the same address space. Thread context switching can be done entirely independent of the operating system.
2. Process switching is generally more expensive as it involves getting the OS in the loop, i.e., trapping to the kernel.
3. Creating and destroying threads is much cheaper than doing so for processes.
Main issue
Should an OS kernel provide threads, or should they be implemented as user-level packages?

User-space solution

- All operations can be completely handled within a single process ⇒ implementations can be extremely efficient.
- All services provided by the kernel are done on behalf of the process in which a thread resides ⇒ if the kernel decides to block a thread, the entire process will be blocked.
- Threads are used when there are lots of external events: threads block on a per-event basis ⇒ if the kernel can’t distinguish threads, how can it support signaling events to them?
Threads and Operating Systems

Kernel solution

The whole idea is to have the kernel contain the implementation of a thread package. This means that all operations return as system calls

- Operations that block a thread are no longer a problem: the kernel schedules another available thread within the same process.
- Handling external events is simple: the kernel (which catches all events) schedules the thread associated with the event.
- The big problem is the loss of efficiency due to the fact that each thread operation requires a trap to the kernel.

Conclusion

Try to mix user-level and kernel-level threads into a single concept.
Solaris Threads

Basic idea
Introduce a two-level threading approach: lightweight processes that can execute user-level threads.
Solaris Threads

Principal operation

- User-level thread does system call ⇒ the LWP that is executing that thread, blocks. The thread remains bound to the LWP.

- The kernel can schedule another LWP having a runnable thread bound to it. Note: this thread can switch to any other runnable thread currently in user space.

- A thread calls a blocking user-level operation ⇒ do context switch to a runnable thread, (then bound to the same LWP).

- When there are no threads to schedule, an LWP may remain idle, and may even be removed (destroyed) by the kernel.

Note

This concept has been virtually abandoned – it’s just either user-level or kernel-level threads.
Multithreaded Web client

Hiding network latencies:

- Web browser scans an incoming HTML page, and finds that more files need to be fetched.
- Each file is fetched by a separate thread, each doing a (blocking) HTTP request.
- As files come in, the browser displays them.

Multiple request-response calls to other machines (RPC)

- A client does several calls at the same time, each one by a different thread.
- It then waits until all results have been returned.
- Note: if calls are to different servers, we may have a linear speed-up.
Threads and Distributed Systems

Improve performance

- Starting a thread is much cheaper than starting a new process.
- Having a single-threaded server prohibits simple scale-up to a multiprocessor system.
- As with clients: hide network latency by reacting to next request while previous one is being replied.

Better structure

- Most servers have high I/O demands. Using simple, well-understood blocking calls simplifies the overall structure.
- Multithreaded programs tend to be smaller and easier to understand due to simplified flow of control.
Virtualization is becoming increasingly important:

- Hardware changes faster than software
- Ease of portability and code migration
- Isolation of failing or attacked components

(a) Hardware/software system A

(b) Hardware/software system B

Program

Interface A

Implementation of mimicking A on B

Interface B

Hardware/software system B
Observation

Virtualization can take place at very different levels, strongly depending on the interfaces as offered by various systems components:
Process VMs versus VM Monitors

- **Process VM**: A program is compiled to intermediate (portable) code, which is then executed by a runtime system (Example: Java VM).

- **VM Monitor**: A separate software layer mimics the instruction set of hardware ⇒ a complete operating system and its applications can be supported (Example: VMware, VirtualBox).
VM Monitors on operating systems

Practice
We’re seeing VMMs run on top of existing operating systems.

- Perform **binary translation**: while executing an application or operating system, translate instructions to that of the underlying machine.
- Distinguish **sensitive instructions**: traps to the original kernel (think of system calls, or privileged instructions).
- Sensitive instructions are replaced with calls to the VMM.
Clients: User Interfaces

**Essence**
A major part of client-side software is focused on (graphical) user interfaces.
Clients: User Interfaces

Compound documents

User interface is application-aware $\Rightarrow$ interapplication communication:

- **drag-and-drop**: move objects across the screen to invoke interaction with other applications
- **in-place editing**: integrate several applications at user-interface level (word processing + drawing facilities)
Client-Side Software

Generally tailored for distribution transparency

- **access transparency**: client-side stubs for RPCs
- **location/migration transparency**: let client-side software keep track of actual location
- **replication transparency**: multiple invocations handled by client stub:

![Diagram of client-side software with replicated requests and failure transparency](image)

- **failure transparency**: can often be placed only at client (we’re trying to mask server and communication failures).
Basic model

A server is a process that waits for incoming service requests at a specific transport address. In practice, there is a one-to-one mapping between a port and a service.

<table>
<thead>
<tr>
<th>Port</th>
<th>Service Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>File Transfer [Default Data]</td>
</tr>
<tr>
<td>21</td>
<td>File Transfer [Control]</td>
</tr>
<tr>
<td>23</td>
<td>Telnet</td>
</tr>
<tr>
<td>24</td>
<td>any private mail system</td>
</tr>
<tr>
<td>25</td>
<td>Simple Mail Transfer</td>
</tr>
<tr>
<td>49</td>
<td>Login Host Protocol</td>
</tr>
<tr>
<td>111</td>
<td>SUN RPC (portmapper)</td>
</tr>
<tr>
<td>530</td>
<td>Xerox RPC</td>
</tr>
</tbody>
</table>
Servers: General organization

Type of servers

Superservers: Servers that listen to several ports, i.e., provide several independent services. In practice, when a service request comes in, they start a subprocess to handle the request (UNIX `inetd`).

Iterative vs. concurrent servers: Iterative servers can handle only one client at a time, in contrast to concurrent servers.
Out-of-band communication

**Issue**

Is it possible to interrupt a server once it has accepted (or is in the process of accepting) a service request?

**Solution 1**

Use a separate port for urgent data:

- Server has a separate thread/process for urgent messages
- Urgent message comes in $\Rightarrow$ associated request is put on hold
- Note: we require OS supports priority-based scheduling

**Solution 2**

Use out-of-band communication facilities of the transport layer:

- Example: TCP allows for urgent messages in same connection
- Urgent messages can be caught using OS signaling techniques
Servers and state

Stateless servers

Never keep accurate information about the status of a client after having handled a request:

- Don’t record whether a file has been opened (simply close it again after access)
- Don’t promise to invalidate a client’s cache
- Don’t keep track of your clients

Consequences

- Clients and servers are completely independent
- State inconsistencies due to client or server crashes are reduced
- Possible loss of performance because, e.g., a server cannot anticipate client behavior (think of prefetching file blocks)
Question

Does connection-oriented communication fit into a stateless design?
Servers and state

Stateful servers

Keeps track of the status of its clients:

- Record that a file has been opened, so that prefetching can be done
- Knows which data a client has cached, and allows clients to keep local copies of shared data

Observation

The performance of stateful servers can be extremely high, provided clients are allowed to keep local copies. As it turns out, reliability is not a major problem.
Server clusters: three different tiers

- **Logical switch** (possibly multiple)
- **Application/compute servers**
- **Distributed file/database system**

**Crucial element**
The first tier is generally responsible for passing requests to an appropriate server.
Request Handling

Observation
Having the first tier handle all communication from/to the cluster may lead to a bottleneck.

Solution
Various, but one popular one is TCP-handoff
Example: PlanetLab

**Essence**
Different organizations contribute machines, which they subsequently **share** for various experiments.

**Problem**
We need to ensure that different distributed applications do not get into each other’s way ⇒ **virtualization**
Vserver: Independent and protected environment with its own libraries, server versions, and so on. Distributed applications are assigned a collection of vservers distributed across multiple machines (slice).
Code Migration

- Approaches to code migration
- Migration and local resources
- Migration in heterogeneous systems
Code Migration: Some Context

Before execution

Client    Server

CS

Before execution

Client    Server

REV

Before execution

Client    Server

CoD

Before execution

Client    Server

MA

After execution

Client    Server

CS

After execution

Client    Server

REV

After execution

Client    Server

CoD

After execution

Client    Server

MA
Strong and weak mobility

Object components

- **Code segment**: contains the actual code
- **Data segment**: contains the state
- **Execution state**: contains context of thread executing the object’s code
Strong and weak mobility

Weak mobility
Move only code and data segment (and reboot execution):
- Relatively simple, especially if code is portable
- Distinguish code shipping (push) from code fetching (pull)

Strong mobility
Move component, including execution state
- Migration: move entire object from one machine to the other
- Cloning: start a clone, and set it in the same execution state.
Managing local resources

Problem
An object uses local resources that may or may not be available at the target site.

Resource types
- **Fixed**: the resource cannot be migrated, such as local hardware
- **Fastened**: the resource can, in principle, be migrated but only at high cost
- **Unattached**: the resource can easily be moved along with the object (e.g. a cache)
Managing local resources

Object-to-resource binding

- **By identifier**: the object requires a specific instance of a resource (e.g. a specific database)
- **By value**: the object requires the value of a resource (e.g. the set of cache entries)
- **By type**: the object requires that only a type of resource is available (e.g. a color monitor)
### Managing Local Resources (2/2)

<table>
<thead>
<tr>
<th>ID Value Type</th>
<th>Unattached</th>
<th>Fastened</th>
<th>Fixed</th>
</tr>
</thead>
<tbody>
<tr>
<td>MV (or GR)</td>
<td>GR (or MV)</td>
<td>GR</td>
<td>GR</td>
</tr>
<tr>
<td>CP (or MV, GR)</td>
<td>GR (or CP)</td>
<td>GR</td>
<td></td>
</tr>
<tr>
<td>RB (or MV, GR)</td>
<td>RB (or GR, CP)</td>
<td>RB (or GR)</td>
<td></td>
</tr>
</tbody>
</table>

*GR = Establish global systemwide reference*

*MV = Move the resource*

*CP = Copy the value of the resource*

*RB = Re-bind to a locally available resource*
Migration in heterogenous systems

Main problem
- The target machine may not be suitable to execute the migrated code
- The definition of process/thread/processor context is highly dependent on local hardware, operating system and runtime system

Only solution
Make use of an abstract machine that is implemented on different platforms:
- Interpreted languages, effectively having their own VM
- Virtual VM (as discussed previously)